



301 | 3932

### UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/834,413

Confirmation No. 3617

**Applicant** 

Rubbert et al.

TC/A.U.

Filed

April 13, 2001

Examiner

3732

Docket No.

01-101

Customer No. :

000038600

RECEIVED

FEB 0 9 2004

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

**TECHNOLOGY CENTER R3700** 

#### TRANSMITTAL LETTER

Sir:

- 1. We are transmitting herewith the attached:
  - 1. Supplemental Information Disclosure Statement
  - 2. Form 1449/PTO
  - 3. Cited References
  - 4. Return Postcard
- 2. With respect to additional fees:
  - A.\_\_\_ No additional fee is required.
  - B. \_\_\_ Attached is a check in the amount of \$ \_\_\_.
  - C. X Charge the total additional fee to our Deposit Account No. 50-2844.
- 3. Please charge any additional fees or credit overpayment to Deposit Account No. 50-2844.
- 4. **CERTIFICIATE OF MAILING UNDER 37 CFR § 1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this 30 th day of January, 2004.

Jasvantrai C. Shah Reg. No. 39,444



## UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No.

09/834,413

Confirmation No. 3617

Applicant '

Rubbert et al.

Filed:

April 13, 2001

TC/A.U.

3732

Examiner

01-101

Docket No. Customer No.:

000038600

TECHNOLOGY CENTER R3700

Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.97-1.98

Sir:

Pursuant to 37 C.F.R. §§ 1.97-1.98, the Applicants wish to make the following references of record in the above-identified application. A copy of each reference is enclosed. The Information Disclosure Statement is in compliance with the duty of candor as set forth in 37 C.F.R. § 1.56. These references are also listed on the enclosed PTO Form 1449. It is requested that the documents be given careful consideration and that they be cited of record in the prosecution history of the present application so that they will appear on the face of the patent issuing of the present application.

In the judgment of the undersigned, portions of the references may be material to the examination of the pending claims. No representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited reference have effective dates early enough to be "prior art" within the meaning of 35 USC sections 102 or 103.

## **CITED REFERENCES**

U.S. Patent	S
-------------	---

Patent No.	<b>Date Issued</b>	Inventor
5,615,003	03/25/1997	Hermary et al.
6,266,453 B1	06/24/2001	Hibbard et al.
6,542,249 B1	04/01/2003	Kofman et al.
6,549,288 B1	04/15/2003	Migdal et al.

Respectfully submitted.

Date: 30 January 2004

By: Jawa

Jasvantrai C. Shah Reg. No. 39,444

### **CERTIFICIATE OF MAILING**

The undersigned hereby certifies that the foregoing Supplemental Information Disclosure Statement Pursuant to 37 C.F.R. §§ 1.97-1.98 is being deposited in the United States Postal Service, as first class mail postage prepaid, in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria VA 22313-1450, on this 30 th day of January, 2004.

Jasvantrai C. Shah Reg. No. 39,444

OraMetrix, Inc. 2350 Campbell Creek Blvd, Suite 400 Richardson, Texas 75082 Page 2 of 2

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no Defisions are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO TE TRICE

Application Number 09/834,413
Filing Date Apr. 13, 2001
First Named Inventor Ruedger Rubbert 9 2004
Art Unit 3732

STATEMENT BY APPLICANT
(Use as many sheets as necessary)

INFORMATION DISCLOSURE

Sheet 1 of 1 Attorney Docket Number 01-101 TECHNOLOGY CENTER 93700

**U. S. PATENT DOCUMENTS** Examiner Cite No.1 **Document Number** Publication Date Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Initials\* Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code<sup>2 (# known)</sup> Figures Appear <sup>US-</sup> 5,615,003 05-25-1997 Hermary et al. <sup>US-</sup> 6,266,453 B1 07-24-2001 Hibbard et al. <sup>US-</sup> 6,542,249 B1 04-01-2003 Kofman et al. <sup>US-</sup> 6,549,288 B1 04-15-2003 Migdal et al. US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> )	MM-DD-YYYY		Or Relevant Figures Appear	T <sup>6</sup>
	<u> </u>					

Examiner	· · · · · · · · · · · · · · · · · · ·	Date	
		Date	
Signature		Considered	1
O.g. ata. c		Considered	1

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at <a href="https://www.uspto.gov">www.uspto.gov</a> or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.